

Handwritten: *ifw RECEIPT*



**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
04-014

In Re Application of: **JAY S. WALKER et al.**

Application No. 10/821,255	Filing Date April 8, 2004	Examiner Not Yet Assigned	Customer No. 22927	Group Art Unit 3625	Confirmation No. 9885
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Title:

**PURCHASING, REDEMPTION AND SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Request for Corrected Filing Receipt, 3 pp.; (x2);  
Red-lined Copy of Corrected Filing Receipt, 3 pp.; and  
Return Receipt Postcard.**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below.
- ☒ Charge the amount of **\$25.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: **July 29, 2004**

*Signature*

**Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
Walker Digital, LLC  
203.461.7337/phone  
203.461.7300/fax**

**CUSTOMER NO. 22927**

I certify that this document and fee is being deposited on **July 29, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

**Veronika S. Leliever**

*Typed or Printed Name of Person Mailing Correspondence*

**Dalderucci@walkerdigital.com**

cc:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSTOMER NO.: 22927

Applicants: JAY S. WALKER et al.

Application Serial No.: 10/821,255

Filing Date: April 8, 2004

Title: **PURCHASING, REDEMPTION AND SETTLEMENT SYSTEMS  
AND METHODS WHEREIN A BUYER TAKES POSSESSION AT  
A RETAILER OF A PRODUCT PURCHASED USING A  
COMMUNICATION NETWORK**

Attorney Docket No: 04-014

Group Art Unit: 3625

Examiner: Not Yet Assigned

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

Please correct the Priority Data as claimed by applicant to read:

“This application is a CIP of 09/337,906 06/22/1999 PAT 6,754,636

which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

and is a CIP of 08/889,319 07/08/1997 PAT 6,085,169

and is a CIP of 09/190,744 11/12/1998

which is a CON of 08/707,660 09/04/1996 PAT 5,794,207

and said 09/337,906 06/22/1999  
is a CIP of 09/083,345 05/22/1998 ABN

This application 10/821,255 is a CIP of 09/388,723 09/02/1999  
which is a CIP of 09/337,906 06/22/1999 PAT 6,754,636  
which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

and said 09/388,723 09/02/1999  
is a CIP of 08/889,319 07/08/1997 PAT 6,085,169  
and is a CIP of 09/190,744 11/12/1998  
and is a CIP of 09/083,345 05/22/1998 ABN

This application 10/821,255 is a CIP of 09/348,566 07/07/1999  
which is a CIP of 09/337,906 06/22/1999 PAT 6,754,636  
which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

and said 09/348,566 07/07/1999  
is a CIP of 09/337,906 06/22/1999 PAT 6,754,636  
which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772  
and of 08/889,319 07/08/1997 PAT 6,085,169  
and is a CIP of 09/190,744 11/12/1998  
and is a CIP of 09/083,345 05/22/1998 ABN

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt.

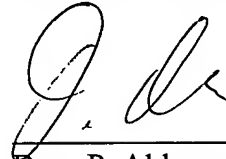
Please charge \$25.00 to Deposit Account 50-0271. A duplicate copy of this page is enclosed. Additionally, the Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.19(h), which may be required during the pendency of this application, or to credit any overpayment to Deposit Account No. 50-0271.

**Petition for Extension of Time to Respond**

Applicants do not believe an extension of time is required with this response. However, if an extension of time should be due, Applicants hereby petition for such extension of time with which to respond to the Office Action. Please charge any additional fees that may be required for this Response, or credit any overpayment to Deposit Account No. 50-0271.

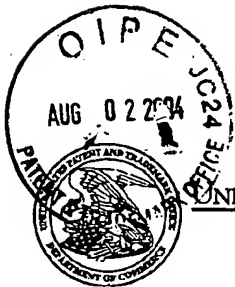
If an extension of time is required, or if an additional extension of time is required in addition to that requested in a petition for an extension of time, please grant a petition for that extension of time which is required to make this Response timely, and please charge any fee for such extension to Deposit Account No. 50-0271.

Respectfully submitted,



\_\_\_\_\_  
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July 29, 2004  
Date



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Page 1 of 3

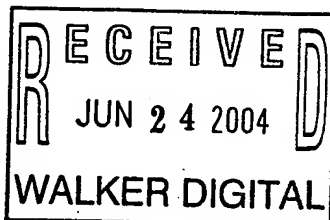
## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/821,255	04/08/2004	3625	466	04-014	117	29	2

CONFIRMATION NO. 9885

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905



FILING RECEIPT



\*OC000000013020945\*

Date Mailed: 06/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jay S. Walker, Residence Not Provided;  
Andrew S. Van Luchene, Residence Not Provided;  
Magdalena M. Fincham, Residence Not Provided;  
Daniel E. Tedesco, Residence Not Provided;

## Domestic Priority data as claimed by applicant

— This application is a CIP of 09/337,906 06/22/1999 PAT 6,754,636

which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

and is a CIP of 08/889,319 07/08/1997 PAT 6,085,169

and is a CIP of 09/190,744 11/12/1998 ABN \*

which is a CON of 08/707,660 09/04/1996 PAT 5,794,207

and said 09/337,906 06/22/1999

is a CIP of 09/083,345 05/22/1998 ABN

This application 10/821,255

is a CIP of 09/388,723 09/02/1999

which is a CIP of ~~09/337,906 06/22/1999 PAT 6,404,729~~ 09/337,906 6/22/1999 PAT 6,754,636

which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

and said 09/388,723 09/02/1999

is a CIP of 08/889,319 07/08/1997 PAT 6,085,169

and is a CIP of 09/190,744 11/12/1998 ABN \*

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which is a CIP of 08/889,503 07/08/1997 PAT 6,249,772

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 and is a CIP of 09/190,744 11/12/1998 ABN \*  
 and is a CIP of 09/083,345 05/22/1998 ABN  
 (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

INSERT :

WHICH IS A CIP OF 08/889,503 07/08/1997  
 PAT 6,249,772

If Required, Foreign Filing License Granted: 06/21/2004 AND OF 08/889,319 07/08/1997 PAT 6,085,169

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Purchasing, redemption, and settlement systems and methods wherein a buyer takes possession  
 at a retailer of a product purchased using a communication network

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER  
 Title 35, United States Code, Section 184  
 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).